

**BEST PRACTICES FOR THE FIGHT AGAINST CORRUPTION  
OFFICE OF THE COMPTROLLER GENERAL OF THE REPUBLIC OF PERU (SAI PERU)**

**1. WEBSITE OF THE ANTI-CORRUPTION OBSERVATORY OF THE SAI OF PERU**

<https://observatorioanticorrupcion.contraloria.gob.pe/>

The Corruption Observatory is a public access tool developed by the Department of the Anti-Corruption Observatory (OBANT) of the Office of the Comptroller General of the Republic of Peru, which aims to generate information to understand the behavior of corruption and functional misconduct and generate evidence to provide inputs to innovate and optimize the exercise of government control, as well as for the design, implementation and management of anti-corruption public policies. To date, it comprises three (3) sections and a compendium with the latest data, the latter briefly disseminates the main conclusions addressed in the various documents and indicators developed by OBANT. The sections of the website are:

❖ **Corruption index**

Dashboard with results of the **estimated loss due to corruption and functional misconduct**, based on the findings of governmental control and other sources of information, by geographic and institutional scope.

- Status of 2019, 2020 and 2021 results: published.
- Status of 2022 results: in the process of implementing the dashboard, projected to be completed in September 2023.

❖ **Indicators**

Dashboards with specific results of risk measurement to various systems or processes or activities of public management. Those developed are:

- **Corruption Risk Index (INCO):** measurement that allows us to approach the phenomenon of corruption and functional misconduct in Peru by institutional level (level of government, sector and entities of the public administration) and by geographical area (region and province), based on information generated and resulting from the entities that exercise control and supervision over the actions of the entities, officials and public servants, who, according to the regulations, must guarantee and maximize the proper use of the resources of the public administration.  
Status: 2021 results published and in the process of implementing the 2022 results that adjusts the methodology, so the 2021 results will also be updated and projected to be completed by December 2023 at the latest.
- **Investment Risk Index (IRI):** Simultaneously evaluates active and viable public investments throughout Peru, identifying their main financial, budgetary, operational and control risks, among others.  
Status: In process of implementation, projected to be completed no later than September 2023.
- **Statistical results on various topics developed in the research papers:** To dynamically display the results of the different variables developed in the research documents.  
Status: Results of contracting without process in the period 2018 to 2022, data is available, pending implementation of the dynamic dashboards in the Portal, projected to be completed by December 2023 at the latest.

#### ❖ Publications

It contains the various documents issued by the SAI of Peru to be downloaded by the visiting public and that refer to the characterization of corruption or risks to be an input for control. It is organized in two (2) sections:

- **Characterization of corruption:** This line is interested in providing evidence on patterns of corruption behavior and functional misconduct, in its various phenomenologies. It addresses issues related to: corruption behavior in the context of public budget execution, among others.

Published documents: 4

Documents in the design stage to be published: 1 (Deadline July 2023)

Documents under development: 3

- **Government Control:** This line addresses issues related to the work of supreme audit institutions (SAI); dimensions and impact of the value chain of the National Control System; the impact of governmental control on the management results of the entities subject to control, among others.

Documents published: 19

Documents under development: 1 (Deadline December 2023)

## 2. GOVERNMENTAL CONTROL LABORATORY

The next stage of the Observatory is to move from data analysis to experimentation, in order to identify relevant evidence to improve control processes and innovate them, with a view to improving their performance. To this end, the Observatory is implementing an internal project to manage a laboratory, which is defined as an environment and/or dynamic space in which agents from the various user areas of the Office of the Comptroller General of the Republic of Peru, public and private entities related to the control system, as well as university institutions, interact to propose improvements in control mechanisms and find solutions for the optimal supervision of the proper use of public resources, through cost-controlled pilot tests, laboratory experiments and other applied studies. The internal project aims to generate empirical evidence and learning from experimental designs that promote innovation in prevention, as well as in detection, investigation and sanctioning through governmental control. Specific objectives have also been defined as:

- i. Consolidate a new link between innovation and modernization of the national control system;
- ii. Increase the capacities in control process innovation of the public servants of the SAI of Peru;
- iii. To create knowledge management activities in innovation of control processes;
- iv. Generate methodological proposals for the innovation of governmental control services; and
- v. Establish guidelines for the identification phase of innovation ideas and for the experimentation phase.

Status: the project to manage the governmental control laboratory is in process.

### 3. IMPACT MEASUREMENTS

#### ❖ **The impact of concurrent control on the efficiency of the execution of public investment projects**

*Objective:* Determine the level of impact of Concurrent Control on the effectiveness of the execution of Public Investment Projects, carried out by the Office of the Comptroller General of the Republic of Peru (CGR) in the period January 2018 to December 2022.

Considering the expansion of the coverage and application of Concurrent Control, initiated in 2017 to reconstruction projects and then progressively extended to other types of projects, it is important to determine the impact that Concurrent Control has been generating in the execution of investment projects, considering its mandatory application since 2021.

Status: Under development

Probable completion date: October 2023

#### **ACCESS TO INFORMATION ON THESE BEST PRACTICES**

All the information already implemented can be accessed on the Website of the Anticorruption Observatory, which address is:

<https://observatorioanticorruccion.contraloria.gob.pe/>

Note: all sections of the best practices that are under development, once completed, will also be published on the aforementioned Website.